

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#114

#3

Applicant: Padmanabhan Sreenivasan et al.

Title: FLEXIBLE FAILOVER POLICIES IN HIGH AVAILABILITY COMPUTING SYSTEMS

Docket No.: 499.074US2

Serial No.: 09/997,404

Filed: November 29, 2001

Due Date: February 12, 2002

Examiner: Unknown

Group Art Unit: 2152

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$130.00 to cover the large entity surcharge.
- ☒ A check in the amount of \$740.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$1960.00 to cover the Extension of Time Fee.
- ☒ Petition for Extension of Time (1 pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (40 pgs.).
- ☒ Notice to File Missing Parts (1pg.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

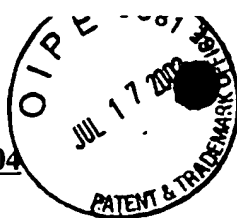
By: [Signature]
Name: Rodney L. Lacy
Reg. No. 41,136
RLL:CMG:wkr

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 12th day of July, 2002.

William Kent Rue
Name

William Kent Rue
Signature

S/N 09/997,404



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Padmanabhan Sreenivasan et al. Examiner: Unknown
Serial No.: 09/997,404 Group Art Unit: 2152
Filed: November 29, 2001 Docket: 499.074US2
Title: FLEXIBLE FAILOVER POLICIES IN HIGH AVAILABILITY COMPUTING
SYSTEMS

COMMUNICATION RE: MISSING PARTS

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Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$740.00 to cover the large entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6954.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

PADMANABHAN SREENIVASAN ET AL.

By their Representatives,
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6954

Date July 12, 2002 By [Signature]
Rodney L. Lacy
Reg. No. 41,136
RLL:CMG:wkr

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 12th day of July, 2002.

[Signature]
Name

[Signature]
Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,404	11/29/2001	Padmanabhan Sreenivasan	499.074US2

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. BOX 2938
MINNEAPOLIS, MN 55402

CONFIRMATION NO. 3328

FORMALITIES LETTER



OC00000007187946

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Date Mailed: 12/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE